

# Lecture 1: Origins of Topological Field Theory

Lecture by David Jordan

Notes by Juliet Cooke

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## Quantum Field Theory

We start with some manifold which may be a 4-manifold  $M^4$  equipped with a metric with signature  $(+ + + -)$  ('space time'), or a 3-manifold  $M^3$  equipped with a Riemannian metric, or a surface  $\Sigma = M^2$  with a conformal structure. On this manifold  $M$  we study some space of 'fields'  $\mathcal{F}$ . That is, we have some natural bundles

$$\begin{array}{c} \xi \\ \downarrow \\ M \end{array}$$

and we wish to study the sections  $\mathcal{F} = \Gamma(M, \Omega^*\xi)$ . In physical situations there is a Lagrangian

$$\mathcal{L} = f\left(\varphi, \frac{\partial\varphi}{\partial x_i}, \frac{\partial^2\varphi}{\partial x_i\partial x_j}, \dots\right), \quad f \in \mathbb{C}[x_1, x_1, \dots],$$

and the main object of study is the 'partition function'

$$Z = \int_{\varphi \in \Gamma(M, \Omega^*\xi)} e^{\pi i \int_M \mathcal{L}(\varphi)} d\varphi.$$

This is the **measure** against which one compares correlations of fields  $\psi_1, \psi_2$  i.e. the likelihood of observing  $\psi_1$  given  $\psi_2$  and vice versa. These integrals are ill-posed in general, not only divergent, but underfined because  $d\varphi$  does not exist as stated. QFT addresses this in various ways to still get meaningful physical quantities out. The partition function  $Z$  depends, a priori, on the extra data on  $M$ , such as metric. However, in many field theories, especially 'super symmetric' ones, this dependence is trivial, and the field theory is said to be **topological**.

**Example 1.** *An example of a topological quantum field theory is based on the Cern-Simons functional on a 3-manifold  $M^3$  with Riemannian metric. We have*

a trivial  $\mathfrak{G}$ -bundle over  $M$  where  $\mathfrak{G}$  is a semi-simple lie algebra. So we have

$$\mathcal{F} = \Gamma(M, \Omega'(\mathfrak{G})).$$

Let the connection be  $\nabla = A_i(m)dx_i$ , then in local coordinates

$$\mathcal{L}(\nabla) = \text{tr}(F \wedge A - \frac{1}{3}A \wedge A \wedge A)$$

where  $F = dA + A \wedge A$  is the curvature of the connection.

$$\Omega^3(M, \mathfrak{G} \otimes \mathfrak{z}) \xrightarrow{\text{tr}} \mathcal{L}(\nabla) \in \Omega^3(M^3).$$

## Topological Quantum Field Theories

In the 1980s Atiyah and Segal realised that the formal properties of the (ill-defined) partition function may nevertheless lead to interesting **invariants** leading to an axiomatisation of TQFTs.

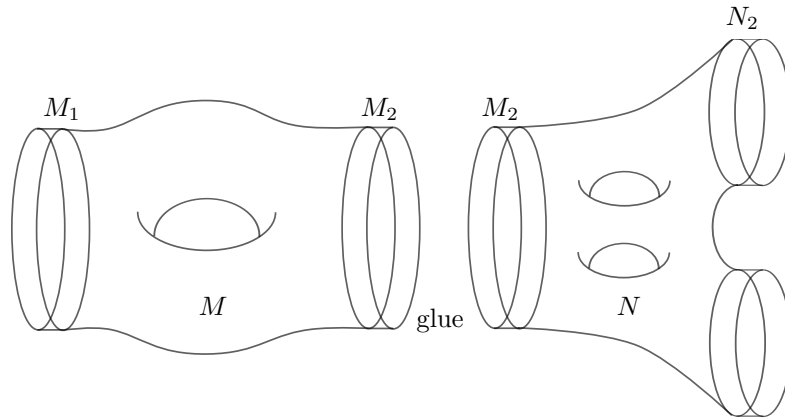
In particular, they were interested in manifold invariants which exploited the **manifold** nature, not just the topological space. The most basic properties are those of **boundary conditions** and compatibility with **cobordisms** and **disjoint unions**.

1. Given submanifolds  $M_1, M_2 \subseteq \partial M$  and fields supported in some collar neighbourhoods  $M_1 \times I \subseteq M$ ,  $M_2 \times I \subseteq M$  we can define

$$Z(\varphi_1, \varphi_2) = \int_{\substack{\varphi \in \Gamma(M, \Omega^* \xi) \\ \varphi|_{M_1 \times I} = \varphi_1 \\ \varphi|_{M_2 \times I} = \varphi_2}} e^{i\pi \int_M \mathcal{L}(\varphi)} d\varphi$$

This 'defines' a map

$$Z : \mathcal{F}(M_1 \times I) \rightarrow \mathcal{F}(M_2 \times I).$$



2. These compose under gluing of oriented **cobordisms**.

$$Z(N) \circ Z(M) = Z(M \text{ glued to } N)$$

A cobordism from  $M_1$  to  $M_2$  (both  $(n-1)$ -manifolds) is a  $n$ -manifold  $M$  with boundary  $\partial M = M_1 \sqcup M_2 = M_{in} \sqcup M_{out}$ . A manifold is orientable if  $\Lambda^*(TM)$  is trivial and an orientation is decomposition of this line into  $\pm$ .

3. Let  $M, N$  be manifolds and  $M_1, M_2 \subseteq \partial M$ .

$$Z(M \sqcup N) = Z(M) \cdot Z(N)$$

$$Z(M_1 \sqcup M_2) = Z(M_1) \otimes Z(M_2)$$

Atiyah and Segal studied the **cobordism category**  $Cob^1(n)$ . The cobordism category has

**Objects:**  $(n-1)$ -dimensional, compact, **oriented**, closed manifolds.

**Morphisms:**  $Hom(M_1, M_2)$  consists of diffeomorphism classes of oriented cobordisms  $M^n$  with  $\partial M = M_{in} \sqcup M_{out} = M_1 \sqcup M_2$ . Quotienting by diffeomorphism is required for associativity. Orientation is needed to determine the 'source' and 'target' of cobordisms.

Atiyah and Segal defined an  $n$ -dimensional TQFTs as a symmetric monoidal functor

$$Z : Cob^1(n)^\sqcup \rightarrow Vect_k^\otimes.$$

The monoidal structure on  $Cob^1(n)^\sqcup$  is given by disjoint union  $\sqcup$  and on  $Vect_k^\otimes$  given by the usual tensor product on vector spaces.

This definition is already very interesting but we will extend it in various ways. The first way is to replace  $Vect_k^\otimes$  with a general symmetric monoidal category  $\mathcal{C}^\otimes$ . This makes a  $n$ -dimensional TQFTs a symmetric monoidal functor

$$Z : Cob^1(n)^\sqcup \rightarrow \mathcal{C}^\otimes.$$

We can also extend the definition to higher categories. Given  $k = 1, 2, \dots, \infty$ , will see a natural category, and study functors

$$Z : Cob^k(n)^\sqcup \rightarrow \mathcal{C}^\otimes,$$

where  $\mathcal{C}^\otimes$  is some natural higher category. For example

$$\mathcal{C}^\otimes = \text{Alg}_k^2 = \begin{cases} k \text{ algebras as objects} \\ A - B \text{ bimodules as morphisms} \\ \text{bimodule maps as 2-morphisms} \end{cases}$$

or

$$\mathcal{C}^\otimes = \text{Alg}_{\text{Vect}_k}^2 = \begin{cases} \text{tensor categories as objects} \\ \text{bimodule categories as 1-morphisms} \\ \text{natural transformations as 3-morphisms} \end{cases},$$

or  $\mathcal{C}^\otimes$  is a braided tensor category or  $\mathcal{C}^\otimes$  is a modular tensor category.

## The Cobordism Hypothesis

We shall also see the **cobordism hypothesis**: Roughly this says that we can find 'generators' and 'relations' presentations for  $Cob^k(n)$  and hence classify TQFTs in terms of special objects in  $\mathcal{C}^\otimes$  which are called (fully) dualizable. The hypothesis hypothesis has been worked on by many people including Baez-Dolan (conjecture), Lurie (proof), Scheimbauer (segal spaces) and Ayala-Francis Tannaka (factorisation homology).

Cobordism hypothesis:

- $Cob^\infty(n)$  is generated by a single object, the  $n$ -disc. Hence a fully extended TFT is completely determined by  $Z(\mathbb{R}^n) \in \mathcal{C}^\otimes$ .
- $Z(\mathbb{R})$  must be fully dualizable, which asserts the existence of many adjoints and duals in  $\mathcal{C}^\otimes$  and is essentially a strong finiteness condition.