

# Origins of topological field theory

First: quantum field theory

Setup: Have some manifold (typically a 4-manifold  $M^4$ , equipped w/ a metric w/ signature  $(+++,-)$  ("space-time"), or sometimes  $M^3$ , equipped w/ a Riemannian metric, or else a surface  $\Sigma = M^2$ , w/ a conformal structure.

Study some space of "fields" on  $M$ .  
That is, we have some natural bundles

$\begin{array}{c} \mathcal{E} \\ \downarrow \\ M \end{array}$ , and we wish to study sections  $\Gamma(M, \Omega^q \mathcal{E})$

In physical situations, there is a Lagrangian

$$L(\varphi) = f\left(\varphi, \frac{\partial \varphi}{\partial x_i}, \frac{\partial^2 \varphi}{\partial x_i \partial x_j}, \dots\right), \quad f \in \mathbb{C}[z, \dots]$$

and the main object of study is

$$Z = \int_{\varphi \in \Gamma(M, \Omega^q \mathcal{E})} e^{\int_M L(\varphi)}$$

"the partition function", and

~~$Z(\varphi, \psi)$~~   
 $Z(\psi_1, \psi_2) = \int_{\varphi} \dots$

This is the measure against one compares correlations of fields  $\psi_1, \psi_2$ , i.e. the likelihood of observing  $\psi_2$  given  $\psi_1$  and vice versa.

These integrals are ill-posed in general, not only divergent but just undefined because  $d\Phi$  does not exist as stated.

QFT addresses this in various ways to still get meaningful physical quantities out.

The partition function  $Z$  depends, a priori, on the extra data on  $M$ , such as a metric. However, in many field theories, esp. "super-symmetric" ones, this dependence is trivial, and the field theory is said to be topological.

Examples: Chern-Simons functional on a 3-manifold.

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Yang Mills functional on a 4-manifold.

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In the 1980's, Atiyah & Segal realized that the formal properties of the (ill-defined) partition function may nevertheless lead to

to interesting topological invariants.

~~An invariant of manifolds~~

In particular, they were interested in manifold invariants which exploited the manifold nature, not just the topological space.

The most basic properties <sup>are those</sup> ~~is~~ that of boundary conditions and compatibility w/ cobordisms.

~~Def~~

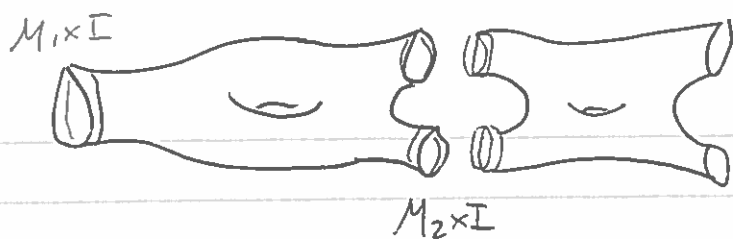
1) Given submanifolds  $M_1, M_2 \subseteq \partial M$  and fields supported in some collar nbhd  $M_1 \times I \subseteq M, M_2 \times I \subseteq M$ , we can define

$$Z(\varphi_1, \varphi_2) = \int_{\varphi \in \Gamma(M, \Omega^2 \mathbb{Z})} e^{i\pi \int L(\varphi)} d\varphi$$

$\varphi|_{M_1 \times I} = \varphi_1$   
 $\varphi|_{M_2 \times I} = \varphi_2$

This "defines" a map:

$$Z: \mathcal{A} \mathcal{F}(M_1 \times I) \rightarrow \mathcal{F}(M_2 \times I).$$



2) These maps "compose" under ~~cobord~~ collar gluing. This is "clear" from the integral formulation.

$$3) \quad Z(M \sqcup N) = Z(M) \cdot Z(N)$$

$$Z(M_1 \sqcup M_2) = Z(M_1) \otimes Z(M_2), \quad M_1 \sqcup M_2 \subseteq \partial M$$

$\leadsto$  Atiyah - Segal studied the cobordism (1-)category.

$\text{Cob}^{\pm}(n)$ : The cobordism 1-category has

Objects =  $(n-1)$ -dimensional, compact, oriented (closed) manifolds.

Morphisms = <sup>oriented</sup> cobordisms  $M$  w/  
 $\text{Hom}(M_1, M_2) = \partial M = M_1^+ \sqcup M_2^-$  / diffeomorphism.

Remarks: 1) Orientations needed to determine "source" + "target" of cobordisms.

2) Morphisms are equivalence classes, which is needed for associativity to hold on the nose.

3) Later we will regret that decision, and revisit it using "higher categories".

Atiyah-Segal's TFT:

$n$ -dim<sup>±</sup>  
 $An^1$  TQFT is a symmetric monoidal functor,

$$Z: \text{Cob}^1(n) \sqcup \longrightarrow \text{Vect}_k^{\otimes} \quad \leftarrow \begin{array}{l} \text{vector spaces} \\ \text{w/ "usual"} \\ \text{tensor product} \end{array}$$

This def<sup>n</sup> is already very interesting but we will extend it in various ways.

Given  $k = 1, 2, \dots, \infty$ , we will see a natural category, and ~~define~~ study functors

$$Z^0: \text{Cob}^k(n) \sqcup \longrightarrow \mathcal{C}^{\otimes} \quad \leftarrow \begin{array}{l} \text{some natural} \\ \text{higher categories} \end{array}$$

$$\text{e.g. } \mathcal{C}^{\otimes} = \text{Alg}_k^2 = \left\{ \begin{array}{l} k\text{-algebras as obj.} \\ A\text{-}B\text{-bimodules as mor.} \\ \text{bimodule maps as 2-mor} \end{array} \right.$$

$$\mathcal{C}^{\otimes} = \text{Alg}_{\text{Vect}_k}^2 = \left\{ \begin{array}{l} \text{tensor categories as obj.} \\ \text{bimodule categories as 1-mor} \\ \text{bimodule functors as 2-mor} \\ \text{natural transformations as 3-mor} \end{array} \right.$$

$\mathcal{C}^{\otimes} =$  braided tensor categories,  
 modular tensor categories

⋮

Will see the cobordism hypothesis:

Roughly, can find "generators" and "relations" presentations for  $\text{Cob}^k(n)$ , and hence classify ~~obj~~ TFT's in terms of special objects in  $\mathcal{E}^{\otimes}$  which are called (fully) dualizable.

Cobordism hypothesis:

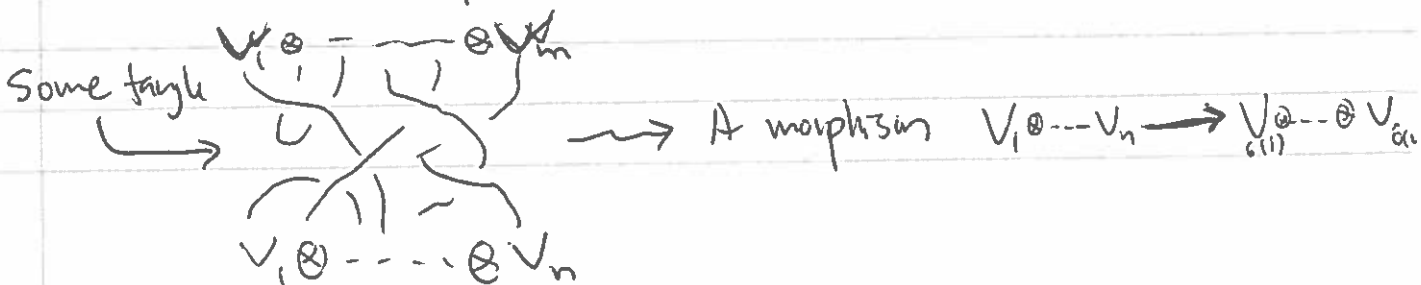
- $\text{Cob}^{\infty}(n)$  is generated by a single object, the  $n$ -disc. Hence a fully extended TFT is completely determined by  $Z(\mathbb{R}^n) \in \mathcal{E}^{\otimes}$ .

- $Z(\mathbb{R}^n)$  must be fully dualizable, which asserts  $\exists$  of many adjoints, and duals in  $\mathcal{E}^{\otimes}$  and is essentially a strong finiteness condition.

We will see the Reshetikhin-Turaev theory.

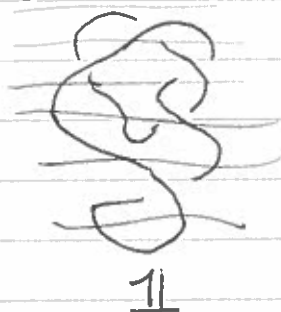
Given a simple Lie algebra, e.g.  $\mathfrak{g} = \mathfrak{sl}_2$ , the universal enveloping algebra  $U = U_1(\mathfrak{sl}_2)$  has a braided or  $E_2$ -structure on its representations.

$$V, W \in \text{Rep } U \rightsquigarrow V \otimes W$$



Elementary, but very deep, to see that this defines "knot invariants" and "braid invariants"

ie. given  $S^1 \hookrightarrow K^3$ , we can think of it as  $\mathbb{1}$ ,  $f_K \in \text{Hom}(\mathbb{1}, \mathbb{1})$



}  
Jones polynomial.

Much less elementary (but not too bad)

This actually defines canonical 3-mfld invariants

$$Z: \mathcal{M}^3 \rightarrow \mathbb{C},$$

which define a functor

$$Z: \text{Cob}^2(3) \rightarrow \text{Modular (in particular, tensor braided) categories}$$

This is called a  $(3, 2, 1)$ -dimensional TFT, the Witten-Reshetikhin-Turaev TFT.

Recently, Bartlett, Douglas, Schommer-Pries proved the corresponding cobordism hypothesis for such things.

Recently an important tool for constructing and classifying TFT's appeared, called factorization homology.

Factorization homology returns to the dream, of writing quantities as simple integrals,

$$Z(M) = \int_M \text{---} dm$$

but now instead of integrating numbers, or even vector spaces, we want to integrate higher structures like ~~mono~~ tensor categories, braided tensor categories, categories of quasi-coherent sheaves, mapping spaces,

anything which is defined locally on  $M$ , and satisfies a certain "factorization" property similar to that in QFT. w.r.t. disj union.